



WP10	LPSC	11F
08/04/15	1/29	

Pierre Auger Observatory

**Surface Detector Electronics Upgrade
AIT- AIV Plan**

Abstract:
 This document defines the Assembly, Integration and Test/Verification Plan for the SDEU. It develops the objectives, sequences and resources at system level.

<i>Document written by:</i> P. Stassi Project System engineer	<i>Agreed by:</i> Tiina Suomijärvi Task Leader
<i>Date:</i> 08 April 2015	<i>Date:</i>
<i>Local Reference:</i> ATRIUM-4404	<i>Project Reference:</i> WP10-LPSC-11F



WP10	LPSC	11F
08/04/15		2/29

Table of Content

1.	Introduction.....	5
1.1	Purpose and scope	5
1.2	Documents	5
1.2.1	Reference Documents	5
2.	objectives	6
3.	Models phylosophy	6
3.1	Prototype Boards (PrtB).....	6
3.2.	Pre-production Boards (PpB).....	6
3.3.	Production Boards (PB)	6
4.	Manufacturing flow charts	7
4.1	Prototype Boards.....	7
4.2	Pre-production Boards	7
4.3	Production Boards	8
5.	Test Tools.....	9
5.1	Tank Simulator (TS)	9
5.1.1	Tank Simulator Description (RD6).....	9
5.2	Engineering Array (EA).....	12
6.	Assembly Integration and Verification flow charts.....	13
6.1	Prototype Boards.....	13
6.2	Pre-production Boards	14
6.3	Production Boards	15
7.	Tests and Verification list	16
7.1	Mechanical verification.....	16
7.2	Thermal verification and ageing.....	16
7.3	EMC.....	17
7.4	Electrical Functional verification	19
7.4.1	Requirements Verification Matrix.....	19
7.4.2	Basic Test definition.....	22
7.4.3	Full Functional test definition	23
7.4.4	End to End test definition	23
7.4.5	Requirements verification by testing.....	25
7.5	Engineering Array verification.....	28
7.5.1	Set Up Verification	28
7.5.2	Trigger Verification.....	28
7.5.3	Timing Verification.....	28
7.5.4	Large PMTs, calibration with muons	28
7.5.5	Large PMTs, calibration with LED	28
7.5.6	Performances comparison	28
7.6	Models Verification Matrix.....	29



WP10	LPSC	11F
08/04/15	3/29	

ACRONYMS

AD	Applicable Document
ADC	Analog to Digital Converter
AIT	Assembly, Integration and Tests
AIV	Assembly, Integration and Verification
BGA	Ball Grid Array
CPU	Central Processing Unit
CR	Configurational Requirement
DAC	Digital to Analog Converter
DC	Direct Current
EA	Engineering Array
EMC	Electro-Magnetic Compatibility
ESD	Electro-Static Discharge
ESS	Environmental Stress Screening
ER	Environmental Requirement
FADC	Flash ADC
FDIR	Failure Detection, Isolation and Recovery
FMECA	Failure Mode, Effects and Criticality Analysis
FMEA	Failure Mode, Effects Analysis
FPGA	Filed Programmable Gate Array
FR	Functional Requirements
GPS	Global Positioning System
HASS	Highly Accelerated Stress Screening
HSIA	Hardware Software Interaction Analysis
H/W	HardWare
ICD	Interfaces Control Document
IR	Interface Requirements
LVDS	Low Voltage Differential Signaling
n/a	non applicable
OR	Operational Requirements
OTG	On The Go
PB	Production Board
PBS	Product Breakdown Structure
PCB	printed Circuit Board
PMT	PhotoMultiplier Tube
PpB	Pre-production Board
PPS	Pulse Per Second
PR	Physical Requirements
PrtB	Prototype Board
QR	Quality Requirements
RD	Reference Document
RSS	Reliability Stress Screening
SDE	Surface Detector Electronics
SPF	Single Point Failure
SPMT	Small PMT
SR	Support Requirements
S/W	SoftWare
TBC	To Be Confirmed
TBD	To Be Defined
TBW	To Be Written
TC	Tele-Command
TM	TeleMetry
TPCB	Tank Power Control Board
UB	Unified Board
UC	Upgrade Committee
USB	Universal Serial Bus
UUB	Upgraded Unified Board
UHE	Ultra High Energy
UHECR	Ultra High Energy Cosmic Ray
VM	Verification Matrix
WCT	Water Cerenkov Tank
WP	Work Package



WP10	LPSC	11F
08/04/15	4/29	

DOCUMENT CHANGE RECORD

Issue	Revision	Issue Date	Changes Approved by	Modified Pages Numbers, Change Explanations and Status
11	A	23/03/14	P. Stassi	DRAFT
11	B	15/05/14	P. Stassi	First release
11	C	05/11/14	P. Stassi	Engineering Array verifications added
11	D	28/11/14	P. Stassi	Minor upgrade
11	E	01/04/15	P. Stassi	Flows and test matrix update. Tests definitions
11	F	08/04/15	P. Stassi	Updated with WP designers comments



WP10	LPSC	11F
08/04/15		5/29

1. INTRODUCTION

1.1 *Purpose and scope*

This document describes the ways and means:

- To ensure the SDEU assembly and its integration,
- To ensure its verification,
- To test and/or measure its performances.

It will:

- Present the general objectives of the SDEU AIT-AIV,
- Give the verification philosophy,
- Identify and describe the AIT-AIV tasks at system and sub-system level,

1.2 *Documents*

1.2.1 *Reference Documents*

- RD1 SDEU Specifications, WP10LPSC03.
- RD2 SDEU Development Plan, WP10LPSC02.
- RD3 SDEU Electrical Interfaces Control Document, WP10LPSC05.
- RD4 AUGER GAP NOTE, GAP-2002-002.
- RD5 IEC 61004, Electromagnetic compatibility (EMC) – Part 4-2: Testing and measurement techniques – Electrostatic discharge immunity test.
- RD6 The UUB Test System, P. Buchholz and Al, Siegen University, Jan. 28th 2015
- RD7 Design, Fabrication & Testing of the Auger Surface Detector Front End Electronics Board, Daw Don Cheam, MTU – 2004.



WP10	LPSC	11F
08/04/15		6/29

2. OBJECTIVES

- Verification by means of testing of the SDEU system with respect to the specification, including operational procedures;
- Establish an integration sequence for the units;
- Identification of test activities at unit level;
- Identify the procedures for the various tests.

3. MODELS PHYLOSOPHY

3.1 *Prototype Boards (PrtB)*

This model is needed to test and validate the design of the SDEU. 5 plus 20 units of PrtB will be realized and tested at various plants. 10 units will be shipped to PAO site to be tested on the engineering array.

3.2. *Pre-production Boards (PpB)*

The PpB model is needed for manufacturer qualification. 100 units are foreseen for this purpose. Four productions sites are foreseen then the numbers of PpB will be spread among the production sites (~ 33 units per site).

3.3. *Production Boards (PB)*

2000 units of the PB model will be manufactured on four production sites, equally distributed. The PCB will be fabricated in one site only (TBC).

4. MANUFACTURING FLOW CHARTS

4.1 Prototype Boards

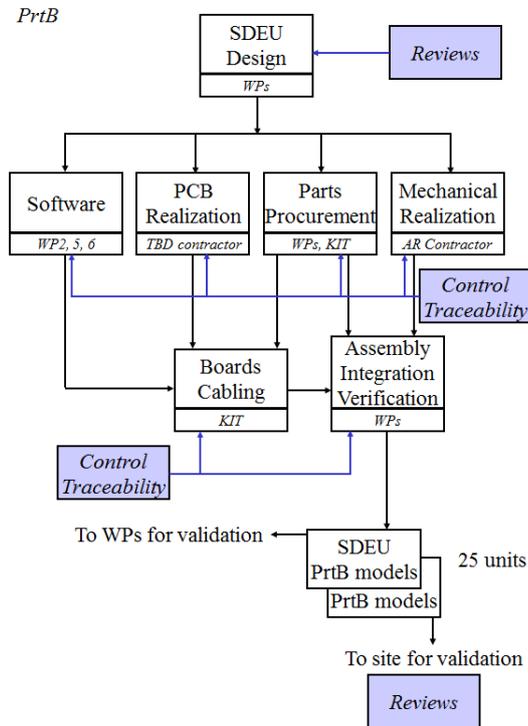


Figure 4.1a: Prototype boards manufacturing flow chart

4.2 Pre-production Boards

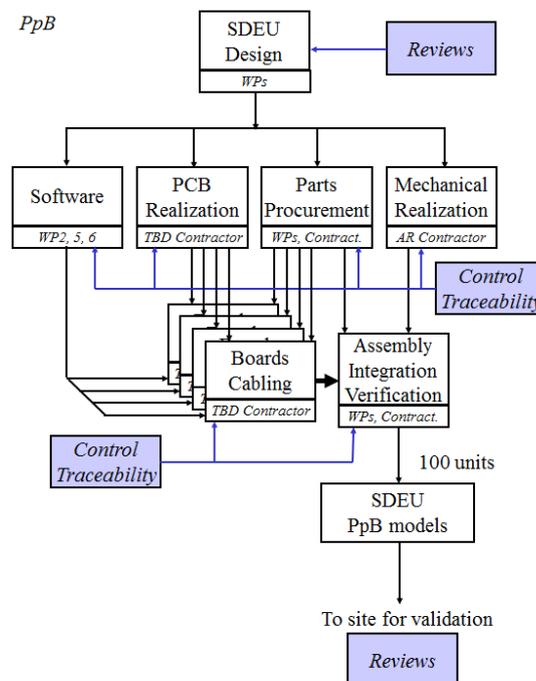


Figure 4.2a: Pre-production boards manufacturing flow chart

4.3 Production Boards

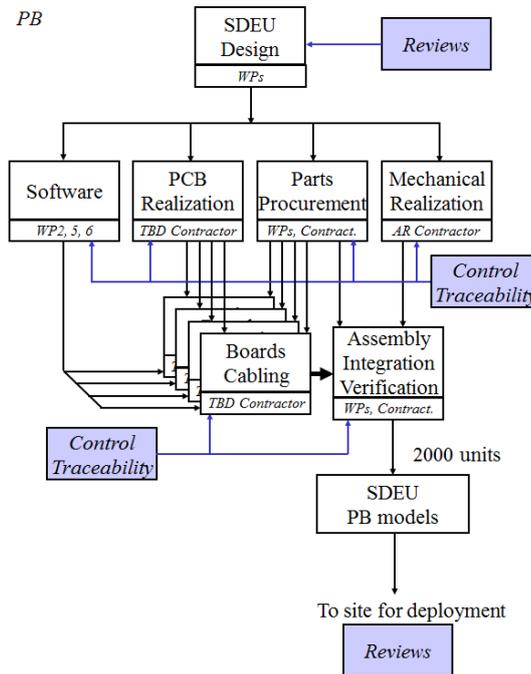


Figure 4.3a: Production boards manufacturing flow chart



WP10	LPSC	11F
08/04/15		9/29

5. TEST TOOLS

5.1 Tank Simulator (TS)

To verify all the requirements of the SDEU and also to be able to operate it at the various test plant, a “Tank Simulator” will be built, not only able to generate or receive and monitor signals to and from the UUB under test, but also able to have the basic behavior of the real tank and devices around.

Additionally, this kind of simulator can be easily reproduced and spread through the different partners, allowing sharing test and validation activities.

The “Tank Simulator” should be able to be used for the specification validation of the UUB but also for the functional verification, fabrication and production validation and reception, maintenance and failure detection and recovery.

5.1.1 Tank Simulator Description (RD6)

5.1.1.1 Simulation interfaces

Interfaces reproduced by the TS come as a set of 26 cables connections:

Name	Description (SDEU wise)
POWER 24VDC	Power input
EXT1	Digital LVDS I/O port
EXT2	Digital LVDS I/O port
ETH	Ethernet port
USB OTG	USB OTG port
USB SYS	USB system port
USB SC	USB slow control port
TRIG. IN	Trigger digital input
TRIG. OUT	Trigger digital output
Y/A	LED analog signal output
R	LED analog signal output
IN1	ASCII detector analog input
IN2	ASCII detector analog input
IN3	ASCII detector analog input
PMT	Small PMT analog input
A1	PMT analog input
A2	PMT analog input
A3	PMT analog input
RADIO	Radio serial port
TANK CONTROL	Tank slow control port (analog & digital)
PMT1	PMT slow control port (analog)
PMT2	PMT slow control port (analog)
PMT3	PMT slow control port (analog)
PMT4	Small PMT slow control port (analog)
PMT5	ASCII PMT slow control port (analog)
PMT6	ASCII PMT slow control port (analog)

Table 5.1a: Tank Simulator interface cables list

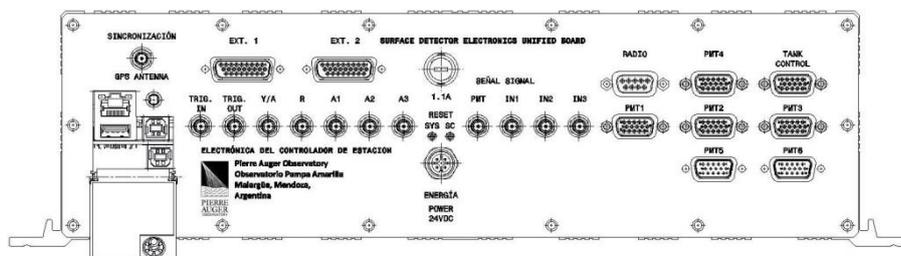


Figure 5.1b: SDEU front panel

Mechanical, electrical aspects and content definition of these interfaces are summarized in RD1 and RD3.



WP10	LPSC	11F
08/04/15	10/29	

5.1.1.2 Interaction schemes

The Tank Simulator is not intended for any mechanical test of its interfaces.

Electrical interactions parameters (load, impedance...) shall comply with SDEU requirements (RD1, RD3) on all interfaces.

GPS antenna signal will not be considered and simulated.

5.1.1.3 On Analog interfaces

The TS will provide realistic anode PMT signals, adjustable in amplitude and time, with all possible coincidence combination, in order to be able to test all the trigger configurations.

5.1.1.4 On power supplies interface

The TS will provide 24 Volts power supply, adjustable between 18 and 32 Volts (TBC), able to reproduce the real voltage variation due to day and/or seasonal sun light variations.

A set of degraded interaction and fault condition schemes will be considered and implemented (TBD).

5.1.1.5 On communication interfaces

The TS will be able to communicate on each defined port of the UUB. A set of degraded interaction and fault condition schemes will be considered and implemented.

5.1.1.6 On Slow-Control interfaces

The TS will be able to emulate all sensors answer of the real tanks and monitor all PMT high voltage command send by the UUB. A set of degraded interaction and fault condition schemes will be considered and implemented.

5.1.1.7 On LED

The TS will be able to monitor the signal from the LED controller send by the UUB and emulate PMT response on generated anode signal, accordingly to the LED signal parameters (time, amplitude). A set of degraded interaction and fault condition schemes will be considered and implemented.

5.1.1.8 Setup description

The local TS setup is composed with the following items:

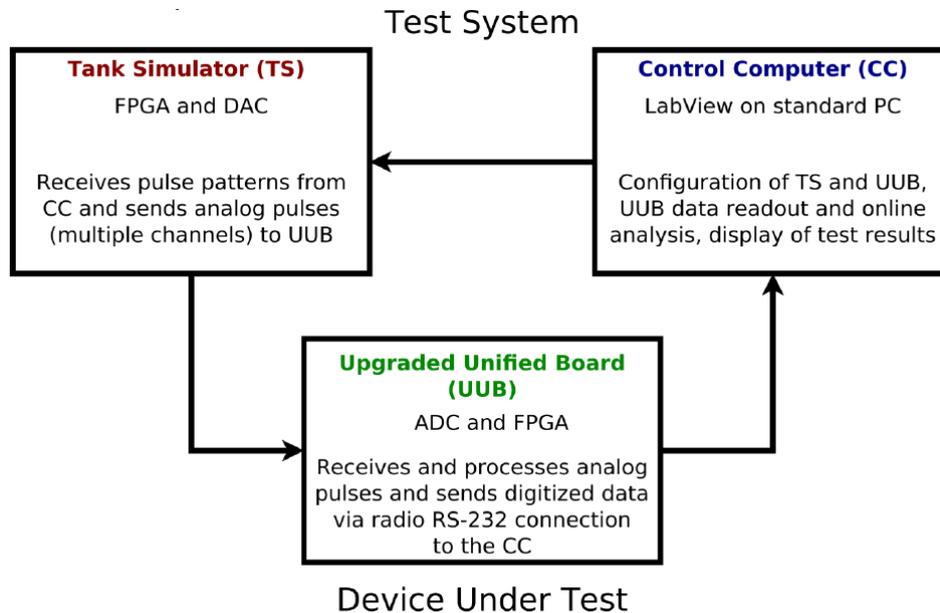


Figure 5.1c: Tank simulator setup diagram

5.1.1.9 Application description (TBC)

The Tank Simulator applicative software allows user to:

- Generate anode PMT signals, eventually reconstructed from real signal recorded, for the 3 regular PMTs, the Small PMT and all other additional PMTs foreseen for the muon detectors upgrade.
- Generate power supply including optionally the daily and seasonal variations recorded from the real data.
- Generate environmental sensors signal recorded from the real data.
- Receive and monitor all slow control signal issued, used for PMT control and monitoring.
- Emulate all signal and protocol on digital I/O line, including, JTAG and trigger ports.
- Emulate the communication protocol used for the COMMS radio system.
- Emulate LED responses to signal emitted by UUB.

Additionally, the Tank Simulator should monitor and evaluate (automatically or not) all signal incoming from the UUB under test, to perform a kind of failure detection

Moreover, the Tank simulator should be able to emulate the basic behavior of a real tank, for example, an increase of the command voltage of the HV bias on a PMT should result to a realistic increase of the anode pulse signal amplitude.

Additionally, to facilitate software adjustment and modification by user people, the TS applicative software interface shall be developed in graphical programming language, LabVIEW®.



WP10	LPSC	11F
08/04/15	12/29	

5.2 *Engineering Array (EA)*

A small area, including a set of an array of 7 Water Cerenkov Tanks (WCT), dedicated for test and validation will be setup in a TBD place of the SD area.

These Engineering Array WCTs will be equipped with the power supply system, and the whole communication setup. Large and small PMTs and LED flasher will be also installed.

The EA purpose is complete the validation of the UUB design verify the performances of the SD equipped with the UUB, in situ.

6. ASSEMBLY INTEGRATION AND VERIFICATION FLOW CHARTS

6.1 Prototype Boards

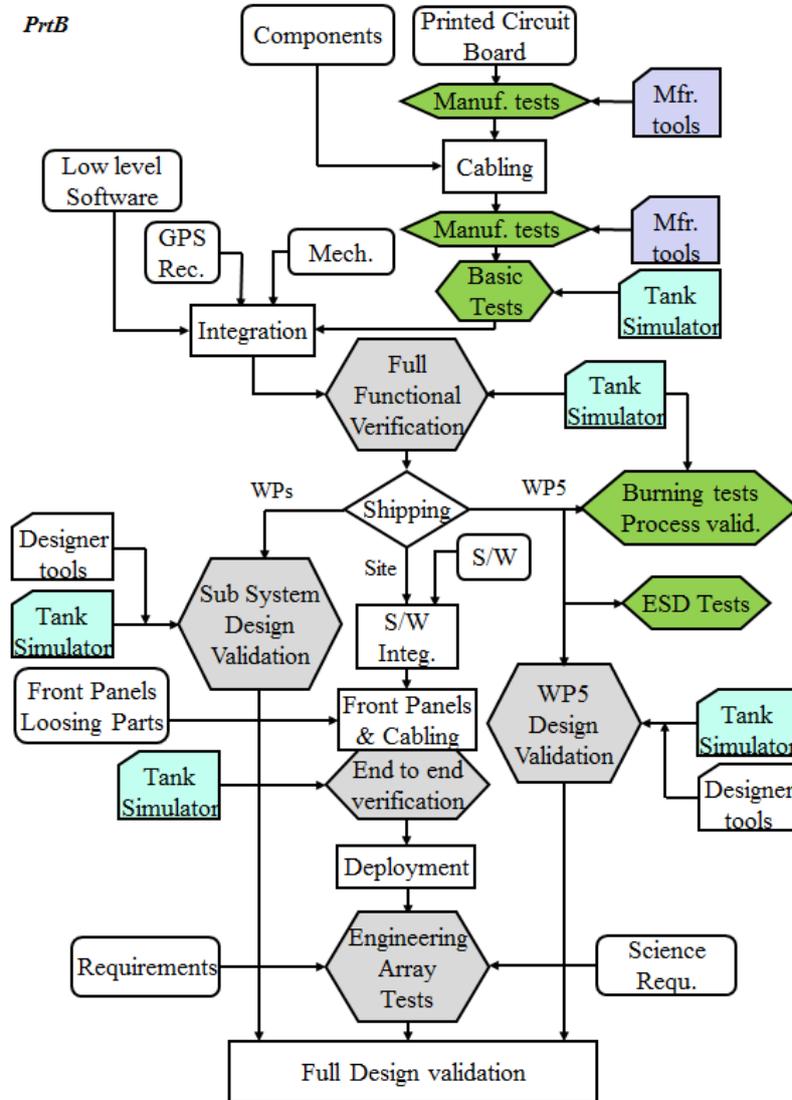


Figure 6.1a: Prototype boards AIV flow chart

Legend:

-  Procurement
-  Integration, Shipment and Deployment activities
-  Testing and Verification activities
-  Testing tools

The design will be validated on the prototype boards by the WP designers and the results of the engineering array tests on site. The Stress Screening and Burning tests procedure can be tested and validated at this level.

ESD (EMC) test are also included in the electrical verification process, but only on the prototype model (PrtB).

6.2 Pre-production Boards

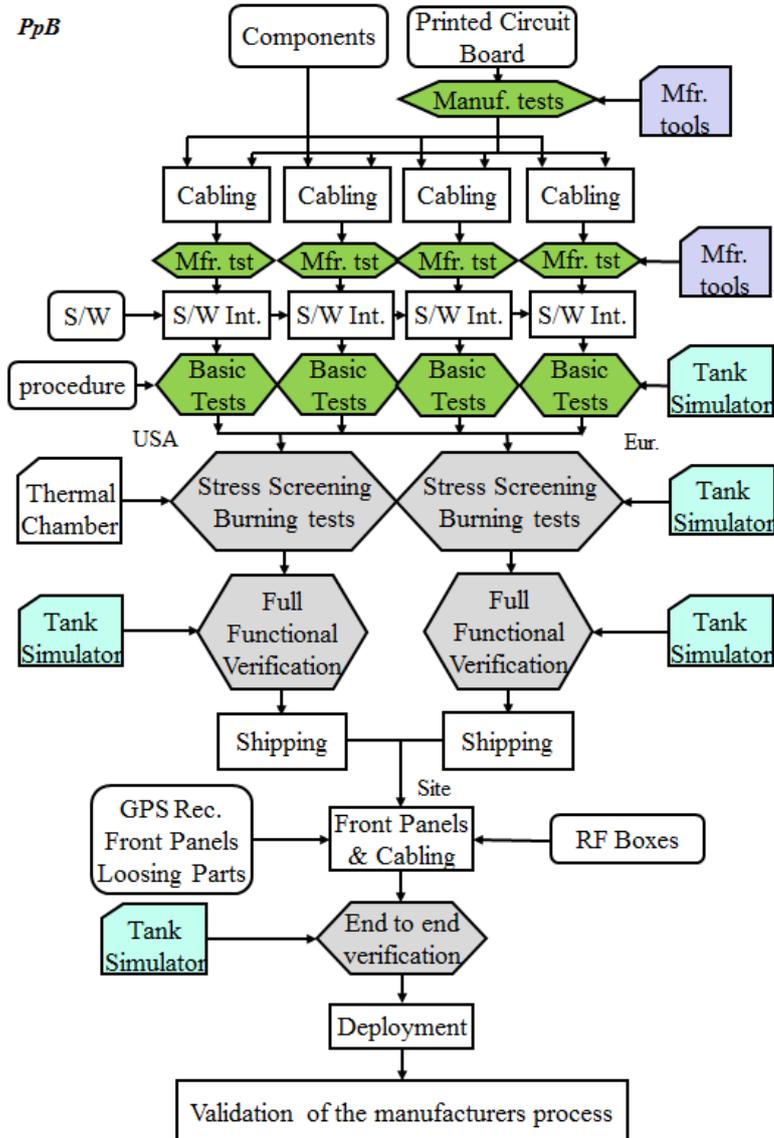


Figure 6.2a: Pre-production boards AIV flow chart

The flow is adapted to the 4 productions sites. The S/W integrated should include a part dedicated to test, activated with on board micro-switches.

Two sites are foreseen for the ESS and Burning tests, one in the US and one in Europe. The validation of the manufacturers' process will occur after the pre-production deployment on site.

(Same legend as figure 6.1)

6.3 Production Boards

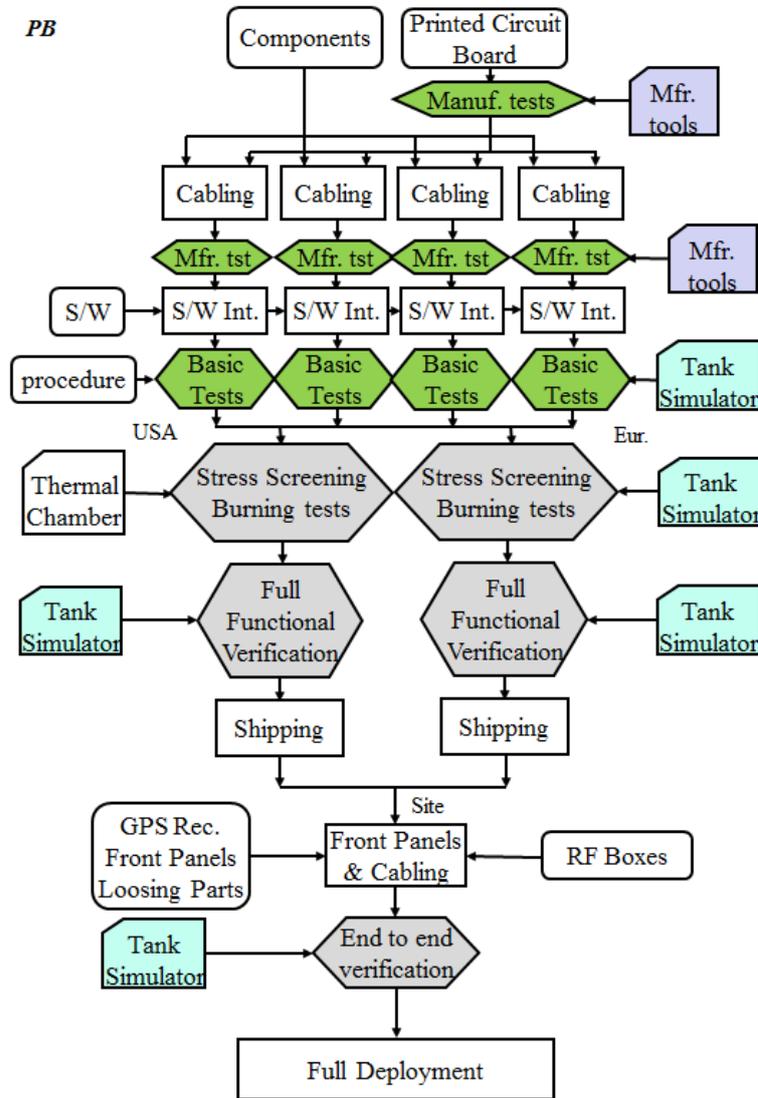


Figure 6.3a: Production boards AIV flow chart

The flow is adapted to the 4 productions sites. The S/W integrated should include a part dedicated to test, activated with on board micro-switches.

(Same legend as figure 6.1)



WP10	LPSC	11F
08/04/15		16/29

7. TESTS AND VERIFICATION LIST

7.1 *Mechanical verification*

The goal of SDEU mechanical verification is essentially focused of the board dimensions, regarding the reused metallic housing and the front panel connector holes, regarding the positions of the implemented connectors on the UUB PCB. These verifications can be realized by review of design.

7.2 *Thermal verification and ageing*

Due to long operational life required, in a difficult environmental stress (daily thermal cycling with a minimum of -15 degrees and a maximum of +55 degrees Celsius) and also to eliminate youth default, we need an environmental stress screening and a Burn-in procedure to enhance the reliability of each UUB.

Components are more likely to fail within the early and late life part of a device, in consequence, the life-time reliability characteristic looks like a 'Bath-Tub'. (RD4).

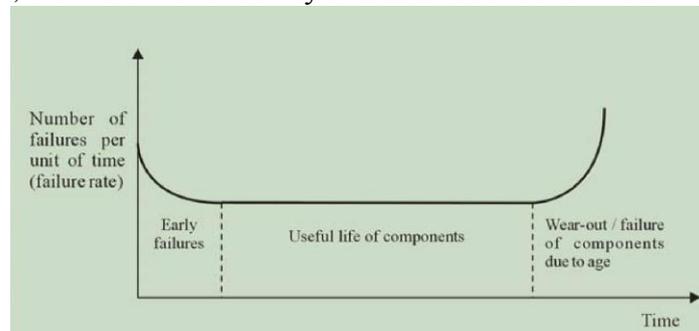


Figure 7.2a: The 'Bath-Tub' life-time reliability characteristic

Environmental stress screening (ESS), also known as Reliability Stress Screening (RSS), is used in industry both at the design and the production level to minimize failure of equipment in the field.

Design level testing is used to locate inherent faults and weaknesses within a design before full-scale production is undertaken. Production level screening is used to locate faulty components and manufacturing defects that would create equipment failures in the field environment

The ESS of electronic assemblies is used to provide initial thermal ageing of devices before delivery i.e. to accelerate the ageing of an assembly to pass through the initial high-failure period, principally by revealing manufacturing defects.

ESS procedure is only used to provide initial ageing of devices (HASS: Highly Accelerated Stress Screening). The objective is to detect the weakest points.

Process constraints to be used during the environmental stress screening and Burn-in, in order to release a full HASS and to simulate usage in the field:

- The UUB is powered on, and the basic functionality should be performed.
- Failures appearing in hot temperature conditions are different of those appearing in cold temperature conditions. Indeed 80% of failures (physical) occur in cold conditions (contraction effect).
- During the ESS, the power supply voltage should be chosen for the worst conditions.



WP10	LPSC	11F
08/04/15		17/29

- Environmental conditions: humidity is between 5 to 100%, sand presence < 300 mg/m³, salt fog is moderated.
- Temperature should be reached within 30 minutes but no faster than 10 minutes to avoid thermal shock effects.
- Check of the cold/hot start capability (applying electrical stimulus: powered off during a specified time and powered up at each extreme temperature).
- We need to provide an electrical test before and after ESS and Burn-in, and a full functional test after, using the Tank Simulator.
- The feedback of the last years of electronic industry indicates that the use of Burn-in is not as efficient as ESS (The Burn-in submits the boards to hot temperature conditions during a specified time). Using a calibration procedure (see below) we plan to mix an ESS with a Burn-in; First, we begin with a cold cycle, and next a Burn-in session at +70 Deg.C (16 to 40 hours, for ageing), then ESS session (10 cycles from -20 Deg.C to +70 Deg.C.; 6 Deg.C. by minute and 10 minutes extreme steps time)

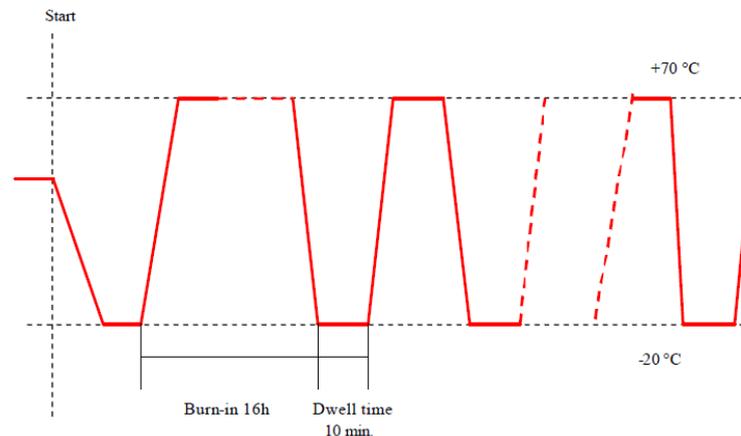


Figure 7.2b: ESS and Burn-in temperature profile

7.3 EMC

EMC verification will follow the RD5 document, IEC61000, part 4-2, *Testing and measurement techniques - Electrostatic discharge (ESD) immunity test*.

This standard relates to equipment, systems, subsystems and peripherals which may be involved in static electricity discharges owing to environmental and installation conditions, such as low relative humidity, use of low-conductivity (artificial-fiber) carpets, vinyl garments, etc., which may exist in all locations classified in standards relevant to electrical and electronic equipment.

Contact discharge is the preferred test method. Air discharges shall be used where contact discharge cannot be applied. Voltages for each test method are given in Table 7.3a. The voltages shown are different for each method due to the differing methods of test.

Table 7.3b shows the application of the test levels related to environmental (installation) classes.

For air discharge testing, the test shall be applied at all test levels in Table 7.3a up to and including the specified test level. For contact discharge testing, the test shall be

applied at the specified test level only unless otherwise specified by product committees.

Contact discharge		Air discharge	
Level	Test voltage kV	Level	Test voltage kV
1	2	1	2
2	4	2	4
3	6	3	8
4	8	4	15
x ^a	Special	x ^a	Special

^a "x" can be any level, above, below or in between the others. The level shall be specified in the dedicated equipment specification. If higher voltages than those shown are specified, special test equipment may be needed.

Table 7.3a: ESD test level

Class	Relative humidity as low as %	Antistatic material	Synthetic material	Maximum voltage kV
1	35	x		2
2	10	x		4
3	50		x	8
4	10		x	15

Table 7.3b: Guideline for the selection of the test levels

For the SDEU ESD tests, taking into account the PAO environment, the class 4 and level 4 should be considered.

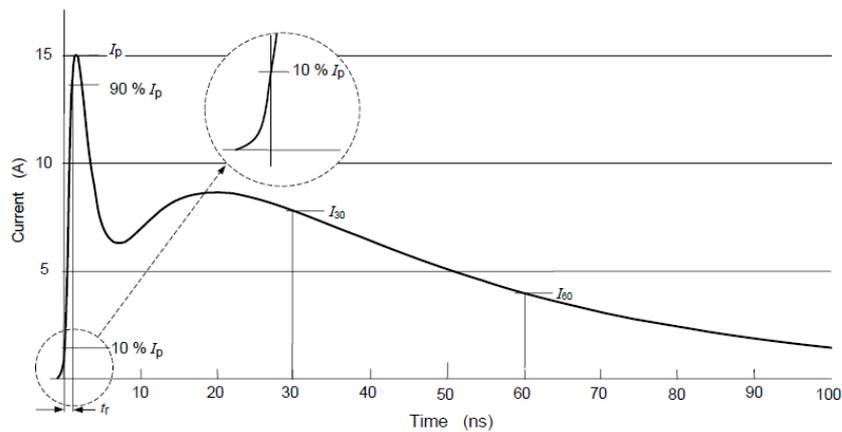


Figure 7.3c: Ideal contact discharge current waveform at 4 kV



WP10	LPSC	11F
08/04/15		19/29

7.4 Electrical Functional verification

7.4.1 Requirements Verification Matrix

The method and level for the requirements verifications are described in the following matrix (see RD1 for a description of the requirements):

Four methods are used to verify the requirements:

- **Inspection (I)**. The requirement implementations are verified by a visual inspection of the system and its sub systems.
- **Review of Design (R)**. The requirement implementations are verified by a review of the design documents (schematics, reports, pictures, etc.) of the system and its sub systems.
- **Analysis (A)**. The requirement implementations are verify through analysis reports, showing result on mathematical or software models of the sub system concerned.
- **Test (T)**. The requirement implementations are verified through test reports showing results on test procedures applied on the system and its sub systems.

The verifications can be performed at two levels, **System (S)** or **Sub System (SS)** or **Both (B)**

Verification Matrix			
Requirements		Verification	
ID	Text	Method	Level
FR11	The UUB shall processes analog anode signals from the three PMTs. A low and high gain signal for each PMT shall be conditioned and digitized.	T	B
FR12	The total RMS integrated noise at the ADC input shall not exceed 0.5 LSB.	R	SS
FR13	The UUB shall digitize the PMTs anode signals at a sampling frequency of 120 Msp/s with a resolution of 12 bits minimum with the adapted conditioning and gain circuitry.	R	B
FR14	Adapted anti-aliasing filters shall be implemented for each PMT signal inputs (60Mhz at -3dB, TBC) (<5% single time bin aliasing noise)	T	B
FR15	The UUB shall process analog signals from additional detectors	R	SS
FR16	The high gain/low gain ratio shall be of 32.	T	SS
FR17	The UUB shall processes analog anode signals from the fourth small additional PMT (the purpose is to increase the overall energy dynamic range).	R	SS
FR21	The trigger/memory circuitry shall evaluate the high-gain output of each PMT every 8.3 ns for interesting trigger patterns (see FR26), store the data in buffer and inform the micro-processor circuitry.	R	S
FR22	The trigger/memory circuitry shall generate a first level trigger based upon hardware analysis of the high gain PMT channel waveforms. The UUB micro-processor software shall imposes additional constraints to generate a level 2 trigger signal.	T	B
FR23	The goal of the first level trigger shall be to trigger efficiently on UHE cosmic ray air showers of energy >10 ¹⁹ eV, while simultaneously rejecting lower energy showers and minimizing composition dependent trigger biases, within a rate constraint of 100 Hz.	T	B
FR24	The level 1 trigger shall be designed to be flexible and eventually modifiable in the future	R	SS
FR25	The level 1 trigger shall start waveforms recording during 19.2 μs	R	SS
FR26	The triggers to be implemented are: etc.. (see RD1)	R-T	B
FR27	The level 1 trigger shall provide signal to Time-Tagging circuitry allowing time step of trigger and determination of absolute time of each ADC bin.	T	S
FR31	The UUB shall able to time tag each events, using the information given by a commercial GPS unit and a logic circuitry (in FPGA) based on the existing design.	T	B
FR32	The time tagging unit shall have a resolution of 4 ns or better, stable in temperature better than 5%.	T	B
FR41	The UUB shall have a micro-processor able to perform the following tasks: - Level 2 Trigger - Data acquisition and event building with double buffering and recording - Calibration process including analog inputs base line monitoring - Data compression to fit the communication flux limit - Communication with the slow control management unit.	R	S
FR51	The UUB shall have a slow control unit, allowing measurement and monitoring of at least 64 x 0 to 5 Volts analog input signals coded over 12 bits (can be multiplexed) and 8 logic inputs. Number of channel shall accommodate the designs for additional muon detector.	R	SS



WP10	LPSC	11F
08/04/15		20/29

Verification Matrix				
Requirements			Verification	
ID	Text	Method	Level	
FR52	The UUB shall have a slow control unit able to generate at least 8 x 0 to 2.5 Volts analog buffered output signals coded from 12 bits and 8 logic buffered outputs.	R	SS	
FR53	The UUB shall have a slow control unit able to monitor internal parameters to perform a failure detection, isolation and recovery (FDIR) process on onboard power supplies and batteries voltage protection over 35 V and under 22 V)	T	S	
FR54	The UUB slow control unit shall be able to manage all existing SDE environmental sensors and additionally, a water temperature sensor and an atmospheric pressure sensor.	T	SS	
FR61	The UUB shall have a light generator unit (LED controller) able to generate two adapted signals with at least amplitude of 20 Volts towards the two foreseen light devices (LED driver). The signal shall be controlled in time with a resolution of 4 ns and shall be synchronized to the time tagging signal (1PPS)	T	S	
FR62	The light devices (LED driver) shall have at least the same specifications of the existing device	R	SS	
FR63	The light generator unit (LED controller) and light devices (LED driver) shall measure the linearity of the SD photomultipliers (PMTs) over the full dynamic range of their acquisition channels, using the "two LEDs technique"	T	S	
FR64	The light generator unit (LED controller) and light devices (LED driver) shall measure the amplification ratio between overlapping acquisition channels, low and high gain of the SD PMTs	T	S	
FR65	The light generator unit (LED controller) and light devices (LED driver) shall be able to create artificial EAS events of different topology on the ground SD array in order to: - check the ACQ response for different event pattern, - check the event reconstruction	T	S	
FR71	The UUB shall include communication capabilities adapted to the existing unit (see Interfaces Requirements section) based on serial links	R	S	
FR72	The UUB shall include Ethernet communication capability.	R	S	
FR73	The UUB shall include USB and USB OTG communication capability.	R	S	
FR74	The UUB shall include digital communication capability for other detector systems, including synchronization signal.	T	S	
FR81	The UUB shall be able to produce all needed internal power supplies, regulated and stabilized, filtered and protected, from a single input of 24 Volts nominal but varying from 18 to 30 Volts.	T	B	
FR82	The UUB internal power supplies shall be voltage monitored by the slow control unit (FR53).	R	S	
CR01	Each part of the UUB shall be contained in a single printed circuit board, excepted for the commercial GPS board, light generators (LED controller shall be on UUB PCB) and the mechanical housing.	I	S	
CR02	The SDEU shall be composed at the minimum of the following components:	R	S	
CR11	The PMTs signal conditioning unit shall be composed of analog discrete components to perform the low noise amplification and filtering functionalities from the actively split PMT anode signals.	I	B	
CR21	The Digitizer unit shall be composed of a number of commercial ADC equivalents to the number of analog inputs or split inputs (dual ADC chips with LVDS outputs are recommended).	R	S	
CR31	The Digital Trigger unit shall be implemented in the unique FPGA component, following the architecture described in figure 2.2.4.a below:	R	B	
CR32	External input and output Trigger signal shall be implemented (see Interfaces Requirements).	I	S	
CR33	Memory minimum size requirements shall follow the values described in the table 2.2.4.b of the RD1	R	S	
CR41	The Processing unit shall be composed of a hardcore processor in the unique FPGA component, with adapted circuitry and memories	R	S	
CR42	The Processing unit shall have an adapted random access memory size of 512 Mo at the minimum	R	S	
CR43	The Processing unit shall have an adapted flash memory	R	S	
CR44	The Processing unit shall works under a micro-Linux operating system	R	S	
CR45	The Processing unit shall have the adapted interfaces to be able to communicate with the other UUB units and the external world.	R	S	
CR51	The Slow Control unit shall be composed of separate (from the main processor) micro controller, ADCs, DACs and associated circuitry on the UUB board	R	B	
CR52	The Slow Control unit shall have analog inputs with 10 Kilo-Ohms impedance	T	SS	
CR53	The Slow Control unit shall include the water temperature and atmospheric pressure sensors and all existing sensors.	R	S	
CR54	The Slow Control unit shall have a direct USB communication link (see Interface Requirements)	R	S	
CR61	The Calibration unit shall include a light generator unit (LED controller) implemented on the UUB PCB, able to provide 20 Volts amplitude pulses, controlled directly by the processing unit (FPGA).	T	S	
CR62	The Calibration unit shall include an external dual light device adapted for PMT calibration purpose (LED driver)	R	S	
CR71	The Time Tagging unit shall be composed of a commercial, timing dedicated, GPS board and a time tagging algorithm implemented in the unique FPGA.	R	S	
CR81	The UUB shall be able to manage at least 1 serial connection RS-232 type to communicate with the BSRU (radio).	R	S	
CR82	The UUB shall be able to manage one Ethernet connection.	R	S	
CR83	The UUB shall be able to manage 2 USB (2.0) and one USB-OTG connection.	R	S	
CR84	The UUB shall be able to manage 2 digital connections for other detector systems, including synchronization signal, slow control and 24V power supply (CR93)	R	S	



WP10	LPSC	11F
08/04/15	21/29	

Verification Matrix				
Requirements			Verification	
ID	Text	Method	Level	
CR91	The power supplies unit shall be composed of adapted to design DC to DC converters with the following requirements: - Efficiency better than 80% (90% recommended) - Large input range, from 18 to 30 Volts (24V nominal) - Low ripple noise, less than 20mV	R - T	S	
CR92	The 12V power supplies for PMTs bases and BSRU (radio) shall be separated (to avoid eventual failure propagation).	R	S	
CR93	24 Volts, filtered, non-regulated and controlled shall be provided on the extensions connectors	T	S	
CR101	The mechanical housing shall be composed of an aluminum extruded RF proof box, identical to the existing design (the existing box can be reused) and a metallic front panel, adapted to the new connectors type and their disposition.	I	S	
IR11	All the electrical interfaces between the UUB and the PMTs shall be identical to the electrical interfaces of the existing UB (excepted for the dynode connectors).	R	S	
IR12	All the electrical interfaces between the UUB and the Radio module shall be identical to the electrical interfaces of the existing UB.	T	S	
IR13	All the electrical interfaces between the UUB and GPS antenna and the tank control (from TPCB) shall be identical to the electrical interfaces of the existing UB	T	S	
IR14	All additional the electrical interfaces between the UUB and external world are described in the 2.3.1.a table in the RD1.	R	S	
IR15	The UUB shall provide external LVDS connection (EXT 1 and EXT 2) for other detector systems, including synchronization signal. The front panel connectors pin out for those extension connections, are described in the table 2.3.1.b. of the RD1	R - T	S	
IR21	The UUB mechanical interfaces shall be identical to the mechanical interfaces of the existing UB.	R	S	
IR22	The UUB mechanical front panel shall have the same external dimensions of the existing UB front panel.	R	S	
IR23	All UUB new electrical connection toward the inner tank shall use the existing feed through (hatch cover design document).	R	S	
PR1	The cabled PCB of the UUB shall be within the following dimensions:	I	S	
PR2	The complete mass of the UUB shall not exceed 10 Kg.	I	S	
PR3	The UUB PCB shall have at least six layers minimum, with one layer for ground plane and one layer for power supplies. Class VI, minimum isolation distances 0.12mm	I	S	
ER1	The UUB shall be able to resist in operation to a temperature range from -20 to +70 degrees Celsius and in storage from -40 to +80 degrees Celsius. Other parts of the SDEU (located in the tank) shall be able to resist to a lower temperature range, -50 degrees Celsius	T	B	
ER2	The UUB shall be able to resist in operation to an average hygrometry between 30 and 80%	T	S	
ER3	The UUB system shall include all necessary electrical protection for internal (over current) and external surges.	T	S	
ER4	The UUB shall be able to resist in operation to storm lightning occurring at a distance of 1 km.	T	S	
ER5	The UUB shall not exhibit any malfunction, degradation of performance or deviation from specified indications when test spikes are applied to the dc power input leads or electromagnetically coupled into the equipment wiring.	T	S	
ER6	The UUB shall resist, out of operation, to long distance cargo flight and dirty road transportation, with an adapted packaging.	T	S	
QR1	The UUB system shall be included in the overall Pierre Auger Observatory Quality Assurance Plan.	R	B	
QR2	The UUB system shall follow policies and procedure described in the Pierre Auger Observatory Surface Detector Electronics Quality Management Plan.	R	B	
OR1	The UUB system shall be entirely autonomously powered through the existing power system. In the scope of a further extension, the total consumption shall not exceed 10W, including existing BSRU (radio, 1.1W average, 3.6W peak) and PMT Bases (1.5W)	T	B	
OR2	The UUB system shall be entirely controlled and monitored through the main radio communication system (BSRU).	T	S	
OR3	The UUB system shall be able to detect major failure and send alarm and/or initiate a recovery process with an internal monitoring system	T	S	
OR4	The software used in the UUB system shall be written in a standard language and widely documented to allow modification by people not involved in the primary design phase	R	B	
OR5	The software used in the UUB system shall be easily downloadable through the main radio communication system and from maintenance device (computer) connected on site	T	B	
OR6	The UUB shall be able to be in operation 24 hours over 24 hours, during 15 years.	A	B	
SR1	The UUB system shall be designed to limit onsite maintenance at the maximum	R	B	
SR2	Hardware and software tools and test benches shall be developed and provided to facilitate the onsite support of the UUB system	R	B	
SR3	Adequate quantity (15%) of spare of the major elements of the SDEU (UUB, light generators, GPS boards,	I	B	



WP10	LPSC	11F
08/04/15		22/29

Verification Matrix				
Requirements			Verification	
ID	Text		Method	Level
	small PMT & bases, sensors) shall be procured and stored to facilitate onsite maintenance, in addition of the attrition (2 to 3%) for the part procurement			
SR4	The UUB system design shall allow people not involved in the design performing general maintenance operations, after a short training		I	B
SR5	All support operation on the UUB system shall be completely documented, traced and recorded		I	B

Table 7.4a – SDEU Verification Matrix

7.4.2 Basic Test definition

The Basic Test is a verification process performed at the manufacturer plant, using a manufacturer tool and/or the Tank Simulator equipped with reduced functionalities software (see sections 6.1, 6.2 and 6.3). The main goal of this test is to verify the good manufacturing of the board and the basic functions up to the connectors. The table below shows the requirements which has to be tested and verified during the Basic Test:

#	Requirement to be verified
	Functional Requirements
FR53	The UUB shall have a slow control unit able to monitor internal parameters to perform a failure detection, isolation and recovery (FDIR) process on onboard power supplies and batteries voltage protection over 35 V and under 22 V)
FR54	The UUB slow control unit shall be able to manage all existing SDE environmental sensors and additionally, a water temperature sensor and an atmospheric pressure sensor.
FR81	The UUB shall be able to produce all needed internal power supplies, regulated and stabilized, filtered and protected, from a single input of 24 Volts nominal but varying from 18 to 30 Volts.
CR91	The power supplies unit shall be composed of adapted to design DC to DC converters with the following requirements: - Efficiency better than 80% (90% recommended) - Large input range, from 18 to 30 Volts (24V nominal) - Low ripple noise, less than 20mV
CR93	24 Volts, filtered, non-regulated and controlled shall be provided on the extensions connectors
IR12	All the electrical interfaces between the UUB and the Radio module shall be identical to the electrical interfaces of the existing UB.
IR13	All the electrical interfaces between the UUB and GPS antenna and the tank control (from TPCB) shall be identical to the electrical interfaces of the existing UB
ER3	The UUB system shall include all necessary electrical protection for internal (over current) and external surges.
OR1	The UUB system shall be entirely autonomously powered through the existing power system. In the scope of a further extension, the total consumption shall not exceed 10W, including existing BSRU (radio, 1.1W average, 3.6W peak) and PMT Bases (1.5W)

The low level S/W, integrated at this level and the micro-controller S/W (Slow Control) should contain auto test algorithm allowing too perform the following tests:

- Voltage and current measurement from the Slow Control
- Micro-controller inputs/outputs verification
- FPGA inputs/outputs verification:
 - o To all memories
 - o To communication interfaces
 - o To ADCs
 - o To LED controller
 - o To micro-controller
- All channel analog chain behavior, from analog connectors to the ADC inputs. This need to provide a simple stimulus signal at the analog inputs (sinus or square waveform)

The results of these tests can be monitored on the two Console System USB connectors (FPGA and Micro-controller)



WP10	LPSC	11F
08/04/15		23/29

7.4.3 Full Functional test definition

The full functional test will include all the test process listed in the section 7.4.5, using the Tank Simulator and its complete S/W (excepted requirement verification involving the GPS receiver data). The main goal is to verify all the board functionalities in a unitary way (unit tests).

This test process shall also include:

- The Basic Tests (see 7.4.2)
- Digital connectors inputs/outputs verification (need a basic configuration in the S/W)
- LED Controller outputs verification
- PMTs slow control verification
- Communication test with GPS board (TBC)

7.4.4 End to End test definition

The End to End Test is a verification process performed on site before deployment, using the Tank Simulator equipped with the appropriate functionalities software (see sections 6.1, 6.2 and 6.3). The main goal is to verify all the functionality of the system in a global way, almost in final situation, before deployment on site. The process includes the Basic Test and additional requirement verification. See table below:

#	Requirement to be verified
	Functional Requirements
FR11	The UUB shall process analog anode signals from the three PMTs. A low and high gain signal for each PMT shall be conditioned and digitized
FR16	The high gain/low gain ratio shall be of 32.
FR27	The level 1 trigger shall provide signal to Time-Tagging circuitry allowing time step of trigger and determination of absolute time of each ADC bin.
FR31	The UUB shall be able to time tag each event, using the information given by a commercial GPS unit and a logic circuitry (in FPGA) based on the existing design.
FR53	The UUB shall have a slow control unit able to monitor internal parameters to perform a failure detection, isolation and recovery (FDIR) process on onboard power supplies and batteries voltage protection over 35 V and under 22 V)
FR54	The UUB slow control unit shall be able to manage all existing SDE environmental sensors and additionally, a water temperature sensor and an atmospheric pressure sensor.
FR61	The UUB shall have a light generator unit (LED controller) able to generate two adapted signals with at least amplitude of 20 Volts towards the two foreseen light devices (LED driver). The signal shall be controlled in time with a resolution of 4 ns and shall be synchronized to the time tagging signal (1PPS)
FR63	The light generator unit (LED controller) and light devices (LED driver) shall measure the linearity of the SD photomultipliers (PMTs) over the full dynamic range of their acquisition channels, using the "two LEDs technique"
FR64	The light generator unit (LED controller) and light devices (LED driver) shall measure the amplification ratio between overlapping acquisition channels, low and high gain of the SD PMTs
FR65	The light generator unit (LED controller) and light devices (LED driver) shall be able to create artificial EAS events of different topology on the ground SD array in order to: - check the ACQ response for different event pattern, - check the event reconstruction
FR74	The UUB shall include digital communication capability for other detector systems, including synchronization signal.
FR81	The UUB shall be able to produce all needed internal power supplies, regulated and stabilized, filtered and protected, from a single input of 24 Volts nominal but varying from 18 to 30 Volts.
CR91	The power supplies unit shall be composed of adapted design DC to DC converters with the following requirements: - Efficiency better than 80% (90% recommended) - Large input range, from 18 to 30 Volts (24V nominal) - Low ripple noise, less than 20mV
CR93	24 Volts, filtered, non-regulated and controlled shall be provided on the extensions connectors
IR12	All the electrical interfaces between the UUB and the Radio module shall be identical to the electrical interfaces of the existing UB.
IR13	All the electrical interfaces between the UUB and GPS antenna and the tank control (from TPCB) shall be identical to the electrical interfaces of the existing UB
IR15	The UUB shall provide external LVDS connection (EXT 1 and EXT 2) for other detector systems, including synchronization signal. The front panel connectors pin out for those extension connections, are described in the table 2.3.1.b. of the RD1
ER6	The UUB shall resist, out of operation, to long distance cargo flight and dirty road transportation, with an adapted packaging.
OR1	The UUB system shall be entirely autonomously powered through the existing power system. In the scope of a further extension, the total consumption shall not exceed 10W, including existing BSRU (radio, 1.1W average, 3.6W peak) and PMT Bases (1.5W)
OR2	The UUB system shall be entirely controlled and monitored through the main radio communication system (BSRU).
OR3	The UUB system shall be able to detect major failure and send alarm and/or initiate a recovery process with an internal monitoring system
OR5	The software used in the UUB system shall be easily downloadable through the main radio communication system and from maintenance device (computer) connected on site

In this test process, one shall be able to generate a fake event on the system, using the analog inputs (TS) and monitor the behavior of the board, reading returned data.



WP10	LPSC	11F
08/04/15	24/29	

This need to use a system able to decode received data (a fake CDAS) which can be connected to the Ethernet interface (the RS232 radio interface can be tested separately with a dedicated protocol). The on board S/W should contain appropriate routines allowing the use of the Ethernet interface.



WP10	LPSC	11F
08/04/15	25/29	

7.4.5 Requirements verification by testing

All SDEU the requirements which can be verified by testing shall be verified and validated at system level as described in the following verification matrix (detailed test procedure are in separate documents):

#	Requirement to be verified	How to verify	With	Expected response
Functional Requirements				
FR11	The UUB shall processes analog anode signals from the three PMTs. A low and high gain signal for each PMT shall be conditioned and digitized	Calibrated pulses send to analog input and compared to pulse reconstructed from digital responses	Tank Simulator Engineering Array	Pulses sent en received are identical
FR14	Adapted anti-aliasing filters shall be implemented for each PMT signal inputs (60Mhz at -3dB, TBC) (<5% single time bin aliasing noise)	Variable frequency sine signal send to analog input and compared to signal reconstructed from digital responses.	Tank Simulator	Frequency response is within filter specification.
FR16	The high gain/low gain ratio shall be of 32.	Calibrated pulses send to analog input and compared to pulse reconstructed from digital responses	Tank Simulator	Gain measurement ratio is 32
FR22	The trigger/memory circuitry shall generate a first level trigger based upon hardware analysis of the high gain PMT channel waveforms. The UUB micro-processor software shall imposes additional constraints to generate a level 2 trigger signal.	Calibrated pulses send to analog input and compared to pulse reconstructed from digital responses	Tank Simulator Engineering Array	Trigger signal behavior regarding the constraints is correct
FR23	The goal of the first level trigger shall be to trigger efficiently on UHE cosmic ray air showers of energy $>10^{19}$ eV, while simultaneously rejecting lower energy showers and minimizing composition dependent trigger biases, within a rate constraint of 100 Hz.	TBW	Engineering Array	TBW
FR26	The triggers to be implemented are: etc.. (see RD1)	Calibrated pulses send to analog input and compared to pulse reconstructed from digital responses	Tank Simulator Engineering Array	Trigger signal behavior regarding the constraints is correct
FR27	The level 1 trigger shall provide signal to Time-Tagging circuitry allowing time step of trigger and determination of absolute time of each ADC bin.	TBW	Engineering Array	TBW
FR31	The UUB shall able to time tag each events, using the information given by a commercial GPS unit and a logic circuitry (in FPGA) based on the existing design.	Trigger signals are generated at known time interval.	Tank Simulator	Generated time interval is reproduced at TBD % in the taimé tagging in event files
FR32	The time tagging unit shall have a resolution of 4 ns or better, stable in temperature better than 5%.	Test to be performed at sub-system level	Thermal chamber Tank Simulator	
FR53	The UUB shall have a slow control unit able to monitor internal parameters to perform a failure detection, isolation and recovery (FDIR) process on onboard power supplies and batteries voltage protection over 35 V and under 22 V)	Voltage variations are generated	Tank Simulator	Expected foreseen behavior of SC software
FR54	The UUB slow control unit shall be able to manage all existing SDE environmental sensors and additionally, a water temperature sensor and an atmospheric pressure sensor.	Sensor stimuli is generated	Tank Simulator Engineering Array	Expected foreseen behavior of SC software
FR61	The UUB shall have a light generator unit (LED controller) able to generate two adapted signals with at least amplitude of 20 Volts towards the two foreseen light devices (LED driver). The signal shall be controlled in time with a resolution of 4 ns and shall be synchronized to the time tagging signal (1PPS)	Commands to generate LED signal with time and amplitude variation are send. The LED signal output are monitored	Tank Simulator Engineering Array	The LED signal behavior is conform to requirements
FR63	The light generator unit (LED controller) and light devices (LED driver) shall measure the linearity of the SD photomultipliers (PMTs) over the full dynamic range of their acquisition channels, using the "two LEDs technique"	PMT linearity measurement process performed	Engineering Array	PMT linearity measurement data recorded and analyzed. Normal behavior expected



WP10	LPSC	11F
08/04/15	26/29	

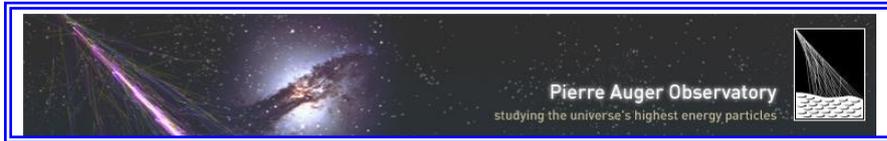
#	Requirement to be verified	How to verify	With	Expected response
FR64	The light generator unit (LED controller) and light devices (LED driver) shall measure the amplification ratio between overlapping acquisition channels, low and high gain of the SD PMTs	PMT linearity measurement process performed	Tank Simulator Engineering Array	PMT linearity measurement data recorded and analyzed. Normal behavior expected
FR65	The light generator unit (LED controller) and light devices (LED driver) shall be able to create artificial EAS events of different topology on the ground SD array in order to: - check the ACQ response for different event pattern, - check the event reconstruction	Commands to generate LED signal with time and amplitude variation are send.	Engineering Array	Expected fake EAS are observed in the PAO monitoring
FR74	The UUB shall include digital communication capability for other detector systems, including synchronization signal.	Digital messages are send and read on EXT ports	Tank Simulator	Digital communications are conform
FR81	The UUB shall be able to produce all needed internal power supplies, regulated and stabilized, filtered and protected, from a single input of 24 Volts nominal but varying from 18 to 30 Volts.	Input voltage variations are generated following a TBD timeline. SC monitoring voltage values are read	Tank Simulator	Internal power supplies behavior are conform to requirements
CR61	The Calibration unit shall include a light generator unit (LED controller) implemented on the UUB PCB, able to provide 20 Volts amplitude pulses, controlled directly by the processing unit (FPGA).	Commands to generate LED signal with time and amplitude variation are send.	Tank Simulator	The LED signal behavior is conform to requirements
CR91	The power supplies unit shall be composed of adapted to design DC to DC converters with the following requirements: - Efficiency better than 80% (90% recommended) - Large input range, from 18 to 30 Volts (24V nominal) - Low ripple noise, less than 20mV	Input voltage variations are generated following a TBD timeline. SC monitoring voltage values are read	Tank Simulator	Internal power supplies behavior are conform to requirements
CR93	24 Volts, filtered, non-regulated and controlled shall be provided on the extensions connectors	24V on EXT ports are monitored. Switch commands are send	Tank Simulator	24V on EXT is conform to requirements
IR12	All the electrical interfaces between the UUB and the Radio module shall be identical to the electrical interfaces of the existing UB.	Emulated radio protocol communication is performed and responses are monitored. Real radio is connected on COMM port	Tank Simulator Engineering Array	Radio communication behavior is conform to requirements
IR13	All the electrical interfaces between the UUB and GPS antenna and the tank control (from TPCB) shall be identical to the electrical interfaces of the existing UB	See FR54	Tank Simulator Engineering Array	See FR54
IR15	The UUB shall provide external LVDS connection (EXT 1 and EXT 2) for other detector systems, including synchronization signal. The front panel connectors pin out for those extension connections, are described in the table 2.3.1.b. of the RD1	See FR74	Tank Simulator	See FR74
ER1	The UUB shall be able to resist in operation to a temperature range from -20 to +70 degrees Celsius and in storage from -40 to +80 degrees Celsius. Other parts of the SDEU (located in the tank) shall be able to resist to a lower temperature range, -50 degrees Celsius	A basic functional test is performed under temperature variation	Thermal Chamber Engineering Array	UUB behavior is conform to requirements
ER2	The UUB shall be able to resist in operation to an average hygrometry between 30 and 80%	SDEU is connected to PAO array	Engineering Array	SDEU behavior is conform to requirements
ER3	The UUB system shall include all necessary electrical protection for internal (over current) and external surges.	SDEU is connected to PAO array	Engineering Array	SDEU behavior is conform to requirements
ER4	The UUB shall be able to resist in operation to storm lightning occurring at a distance of 1 km.	SDEU is connected to PAO array	Engineering Array	SDEU behavior is conform to requirements



WP10	LPSC	11F
08/04/15	27/29	

#	Requirement to be verified	How to verify	With	Expected response
ER5	The UUB shall not exhibit any malfunction, degradation of performance or deviation from specified indications when test spikes are applied to the dc power input leads or electromagnetically coupled into the equipment wiring.	IEC61000 test procedure is applied SDEU is connected to PAO array	SDEU behavior is conform to requirements	SDEU behavior is conform to requirements
ER6	The UUB shall resist, out of operation, to long distance cargo flight and dirty road transportation, with an adapted packaging.	SDEU is transported and connected to PAO array	Engineering Array	SDEU behavior is conform to requirements
OR1	The UUB system shall be entirely autonomously powered through the existing power system. In the scope of a further extension, the total consumption shall not exceed 10W, including existing BSRU (radio, 1.1W average, 3.6W peak) and PMT Bases (1.5W)	Power is monitored	Tank Simulator Engineering Array	Power values are within specifications
OR2	The UUB system shall be entirely controlled and monitored through the main radio communication system (BSRU).	Communications under PAO protocol are emulated or performed on COMM port	Tank Simulator Engineering Array	SDEU behavior is conform to requirements
OR3	The UUB system shall be able to detect major failure and send alarm and/or initiate a recovery process with an internal monitoring system	Generate power modification (variation, suppression) and monitor voltages values with the SC system	Tank Simulator Engineering Array	SDEU behavior is conform to requirements and FDIR
OR5	The software used in the UUB system shall be easily downloadable through the main radio communication system and from maintenance device (computer) connected on site	Software download is performed through real or emulated radio port. Checksum are monitored	Tank Simulator Engineering Array	SDEU behavior is conform to requirements

Table 7.4b – SDEU Requirements testing Matrix



WP10	LPSC	11F
08/04/15	28/29	

7.5 *Engineering Array verification*

(From Golden meeting notes, June 20, 2014)

7.5.1 *Set Up Verification*

- Set up gains of the large PMTs with rate-based method
- Set up small PMT with LED, not possible with rate method
- Noise level measurement using random triggers

7.5.2 *Trigger Verification*

- Trigger rate scans over trigger parameter space
- Compare downscale trigger to old 40 MHz trigger in triplets
- Fake event generation
- Muon decay trigger and calibration
- Rates for the new 120 MHz triggers

7.5.3 *Timing Verification*

- Check timing with twins
- Use fake events to test time jitter

7.5.4 *Large PMTs, calibration with muons*

- Muon pulse shape
- VEM calibration
- A/P calibration
- Cross calibration with small showers
- Muon decay calibration

7.5.5 *Large PMTs, calibration with LED*

- Cross-calibration of the three gain ranges
- Linearity calibration with LED

7.5.6 *Performances comparison*

- Comparison with actual electronics



WP10	LPSC	11F
08/04/15		29/29

7.6 Models Verification Matrix

The following matrix shows the distribution of the different verifications in function of the models.

Verification Matrix							
Feasibility	Electrical Verification	Functional	Performance	Physical Properties	Thermal & Ageing	ESD	Engineering Array
PrtB	PrtB PpB PB	PrtB PpB PB	PrtB (partly) PpB PB	PrtB PpB PB	* PpB PB	PrtB	PrtB

Table 7.6a – Models Verification Matrix

** This process can be validated with the PrtB model*

End of document